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U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No.

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

1871-134

U.S. Application No. (if known, see 37 CFR 1.5)

**10/069923**

INTERNATIONAL APPLICATION NO.  
PCT/AU00/01050

INTERNATIONAL FILING DATE  
September 4, 2000

PRIORITY DATE CLAIMED  
September 2, 1999

**TITLE OF INVENTION**

Iron Chelators and Uses Thereof

**APPLICANT(S) FOR DO/EO/US**

Des Richardson, Paul Vincent Bernhardt, Erika Michelle Becker

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**ITEMS 11. TO 20. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - Copy of published application WO 01/17530 with Search Report
  - Copy of Written Opinion and Response Thereto
  - Copy of International Preliminary Examination Report

|   |              |   |              |   |    |
|---|--------------|---|--------------|---|----|
| U.S. APPLICATION NO. <b>107069923</b><br><small>(If known, see 37 CFR 1.50)</small>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/AU00/01050 |              | ATTORNEY DOCKET NO.<br>1871-134   |    |
| 21. [X] The following fees are submitted:<br><b>Basic National Fee (37 CFR 1.492)(a)(1)-(5):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and<br>International Search Report Not Prepared by EPO or JPO. .... \$ 1,040.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but International Search Report has been prepared by the EPO or JPO ..... \$ 890.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 740.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 710.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00<br><br><div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> |              |   |              | <div style="border: 1px solid black; padding: 2px; margin-bottom: 2px;"><u>CALCULATIONS</u></div> <div style="border: 1px solid black; padding: 2px; margin-bottom: 2px;"><u>PTO USE ONLY</u></div> |    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30<br>months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |   |              | \$  |    |
| Claims  | Number Filed | Number Extra                                    | Rate         |   |    |
| Total Claims  | 18 -20 =     |   | X \$18.00    | \$ 0  |    |
| Independent Claims  | 3 -3 =       |   | X \$84.00    | \$ 0  |    |
| Multiple dependent claim(s) (if applicable)   |              |   | X + \$280.00 | \$ 0  |    |
| TOTAL OF ABOVE CALCULATIONS =   |              |   |              | \$ 1,040.00   |    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated<br>above are reduced by 1/2.   |              |   |              | \$  |    |
| SUBTOTAL =  |              |   |              | \$ 1,040.00   |    |
| Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30<br>months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |   |              | \$  |    |
| TOTAL NATIONAL FEE =  |              |   |              | \$ 1,040.00   |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |   |              | \$  |    |
| TOTAL FEES ENCLOSED =   |              |   |              | \$ 1,040.00   |    |
|   |              |   |              | Amount to be<br>refunded  | \$ |
|   |              |   |              | charged   | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,040.00</u> to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. 02-2135 in the amount of \$ _____ to cover the above fees.<br>A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment<br>to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.<br><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)<br/>         or (b)) must be filed and granted to restore the application to pending status.</b>  |              |   |              |   |    |
| SEND ALL CORRESPONDENCE TO:<br><br><b>Customer No. 6449</b><br><br>E. Anthony Figg<br>Rothwell, Figg, Ernst & Manbeck<br>555 13th St., N.W.<br>Washington, D.C. 20004<br>Phone: 202/783-6040  |              |   |              |   |    |
| <div style="display: flex; align-items: center; justify-content: center;"> <div>             Signature<br/><br/> <u>E. Anthony Figg</u><br/>             Name<br/><br/> <u>27,195</u><br/>             Registration Number           </div> </div>  |              |   |              |   |    |